Guidelines for writing the Introductory section of a PhD thesis at NMBU/BIOVIT

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The "<u>Regulations for the degree of Philosophiae Doctor (PhD) at the Norwegian University of Life</u> <u>Sciences</u>" (last revised 2017) briefly describes the requirements for the PhD thesis in Section 10.1. Point (3) mentions the Introductory section:

(3) The thesis may consist of a monograph or a compendium of several scientific works in article form. *If the thesis consists of several shorter papers, it must also contain an introductory chapter which summarises and compares the research questions and conclusions presented in the shorter works in an overall perspective, and which also documents the coherence of the thesis.* The PhD candidate must be sole author of the summary (i.e. Introduction).

This document provides guidelines on how to write the Introductory section or synopsis (Norw.: *kappen*). See NMBUs general guidelines on <u>finalizing your PhD work</u>. If you are not familiar with scientific writing, or need better skills, please consult <u>NMBUs Writing Center</u>. Many points in these guidelines are inspired by related documents from LANDSAM (2014) and MINA (2018).

Length of the Introductory section

In our sciences the Introductory section is usually about 25-70 pages. The exact page number is not important, as this may naturally vary between scientific disciplines, but you must include all main parts of the thesis presented in the section below. The evaluation committee puts weight on the *quality* of the scientific content and discussion of the Introduction, but they may object if the Introduction is too short or too narrow in scope. If your scientific work and papers are close to the lower limit of requirements for a PhD thesis, you could include a more detailed presentation and discussion in the Introduction, with text that is not covered in the papers.

Table of content

The detailed Table of contents of the Introductory section may vary between scientific disciplines and also between theses within a discipline. It can consist of 2-3 hierarchical levels for better overview of its scientific content. Number the sections like this: 1., 1.1, 1.1.1, 2. etc. Do *not* write 1.0 and 2.0 for the main chapters as this is illogical (1.0 and 1.1 would be on the same hierarchical level).

Here is a general example of the main chapters and sub-chapters, with some explanations. We recommend that the list of main chapters is used in the thesis. Check a few recent PhD theses within your scientific discipline at BIOVIT to get tips on the outline. Discuss the outline of your Introduction with your main supervisor. In the Table of content, give page numbers to the first page for each section.

Preface and acknowledgements	Or only 'Preface' or Acknowledgements'. This is not a formal part of the thesis and is not evaluated by the committee
Table of content	

Summary	English summary, about 1-2 pages. This is like an overall
	abstract for the whole thesis.
Sammendrag	Norwegian summary, exact translation of the English.
List of papers	
1. Introduction	
1.1 General introduction	Present the main topic of the thesis, general scientific
	questions you address, and if relevant challenges in the
	animal or plant production or industry that you will
	contribute to solving by your research.
1.2 Background	Here you may present more details than space in your
1.3 Status of knowledge	papers allow. You must give the background for your
	research, present the state of knowledge within your field
	of work and point on knowledge gaps you will contribute
	to filling, and present and explain relevant theories that
	you use as your basis or will explore. Give an overall
	presentation of your research topics, not only the
	background for each paper. Chapters 1.2 and 1.3 can be
	specified as you wish, and a third hierarchical level (e.g.
	1.2.1) may be used.
1.4 Objectives and aims	General objectives, aims and part aims must be detailed
2 Material and matheda	The methods and the meterial must be presented in
2. Material and methods	The methods and the material must be presented in
	following results and discussion. Include methodological
	approach research material research design and
	approach, research material, research design, and
	material and methods if relevant. If the methods are
	procented in sufficient detail in the papers, you do not
	need to include all details here
3 Results	The results chanter can be organized in various ways. If
J. Results	the individual papers present quite different research
	approaches this Results section can be organized with
	sub-chapters for each paper. Alternatively, it can be
	organized according to scientific topics, partly across
	papers. You should not include all your results in detail
	but focus on the most important results that relate
	directly to your research questions and hypotheses.
4. Discussion	The Discussion should be organized into relevant sub-
4.1 xxxxxx	chapters. You should start with summing up your main
	findings. Then you must discuss all main results in relation
	to previous research and relevant theories, and you
	synthesize your findings across individual papers.
	Discuss to which extent your results answer to your
	research questions and fit with the hypotheses and
	predictions. Pull together all threads and give an overall,
	integrated discussion of how your results answer to your
	main objectives. If relevant, you may modify conclusions
	presented in your first papers, e.g. based on results in
	later papers.

	Put your results into a more general scientific context. See if you can adjust generalizations from previous literature so the scientific knowledge becomes more specific. Discuss limitations in your methods and statistical analyses and explain how methods could be improved.
4.2 Future perspectives	Present ideas for future research based on your results. You may speculate, as long as you present it as speculations which could be explored.
5. Conclusions	Present all of your conclusions from the different papers and across papers, related to your objectives, aims and hypotheses, and describe your contribution to scientific knowledge within your field. This section should not be too long, half a page or so. Do not present speculations here, but you may point to knowledge gaps that are not yet filled.
6. References	A reference list according to a chosen standard of all published literature that you cite in your Introductory section. You do not need to include all references from your papers.
7. Papers	Include all of your scientific papers in full-text. Remember to check for copyright issues with the journals. You should be allowed to print your own articles in your PhD thesis. See information about this <u>here</u> .
Appendix	You may choose to include appendices with raw data or more detailed analyses that are not part of the papers, while interview guides or questionnaires should be included in Appendix. If your data are too big to be included in paper, you may add an exact link to a website where it is found. Material in Appendix may be useful for the committee and for other readers. Several appendices should be numbered to ease citations in the text.

Reasons for rejection of a PhD thesis

Now and then a submitted thesis may be judged to be insufficient in its present form and asked to be revised within three months (cfr. PhD regulations, Section 15.2 (2), alternative b). In very rare cases, a thesis can be completely refused (alternative c). Below is a list of reasons used for such judgements. If several of these problems occur in a particular thesis, the chance increases that it can be judged according to alternatives b or c. Be careful to avoid such criticisms in your thesis. Your supervisor should read through your Introduction before you finalize your thesis, but you are the responsible author for the Introduction. The supervisor can give general feedback but note write any of the text.

List of severe criticisms

- Inferences from the data were actually not supported by the papers and data therein.
- Synopsis found to be too brief on methods and results and the discussion repetitive.
- The synopsis lacked balance and overview in putting problems/objectives in perspective.
- The discussion is merely a summary of the research papers and not any integrated analysis.
- A view on the applicability of what was tested was lacking.

- Lacking in both width and depth.
- Lacking proper statistical analyses.
- Overall sloppiness in internal cross-referencing, literature coverage and citation, as well as unprecise use of terms.
- Inconsistent and apparently random use of terminology and concepts.
- Omission of an important body of literature.
- Serious methodological concerns: lack of appropriate controls.

In addition to this list, be careful to avoid poor English language, several spelling errors, errors in the references, wrong numbering of figures and tables, or general sloppiness, as this may add to a negative evaluation.